



**ALEX PADILLA** | SECRETARY OF STATE | STATE OF CALIFORNIA  
OFFICE OF VOTING SYSTEMS TECHNOLOGY ASSESSMENT  
1500 11<sup>th</sup> Street | Sacramento, CA 95814 | **Tel** 916.653.7244 | **Fax** 916.653.4620 | [www.sos.ca.gov](http://www.sos.ca.gov)

May 11, 2015

County Clerk/Registrar of Voters (CC/ROV) Memorandum #15043

**TO:** All County Clerks/Registrars of Voters

**FROM:** /s/ Susan Lapsley  
Deputy Secretary of State and Counsel

**RE:** Voting Systems: OVSTA Monthly Update – May 2015

Each month, the Office of Voting Systems Technology Assessment (OVSTA) provides an update on Election Assistance Commission (EAC) and Secretary of State (SOS) activities related to voting systems.

Each update provides information on:

- Voting system related events that may be of interest.
- Administrative approvals and denials since the prior month's update.
- Administrative approval requests since the prior month's update.
- Voting system testing and approval at the SOS office.
- Voting system testing and certification at the EAC.
- Defect, failure and fault reports issued since the prior month's update.
- Ballot printing certification requests since the prior month's update.

### **Recent and Upcoming Events**

On April 23, 2015, CACEO and the Secretary of State's Office held the first meeting of the Voting Opportunities Committee. The committee is focusing on the legislation and implementation of alternative methods for voters to access their ballot, polling locations, and cast their voted ballot. The second meeting will be held on May 13, 2015, in the 2<sup>nd</sup> Floor Boardroom of the Secretary of State's Office.

On April 28-29, 2015, the EAC held its first Standards Board Meeting and Board of Advisor's Meeting since 2011. The boards were formally suspended in January of 2012. Secretary Padilla's appointees Ryan Macias and Neal Kelley attended. At the meeting, the Executive Board was elected and sub-committees and working groups were formed.

Secretary Padilla conditionally certified the Dominion Democracy Suite 4.14-A.1 with Adjudication 2.4.1.14601 voting system. See details below.

On May 4-5, 2015, Secretary Padilla and staff attended the May 5, 2015, Denver Municipal Election to observe its implementation of voting service and polling centers (VSPC) and the related technology. While in Denver, Secretary Padilla and staff met with the Disability Law Colorado, Colorado County Clerk Association, Colorado Secretary of State Wayne Williams, and Colorado Secretary of State Elections Division. Others also in attendance included members of FOCE, CACEO, and Secretary of State's VAAC.

On May 19-20, 2015, OVSTA will be attending and presenting at the State Certification Testing of Voting Systems National Conference in Seattle, Washington. This conference is a coalition of states to discuss their certification testing for all election technology.

### **Testing and Approval by the State of California**

- On April 29, 2015, the Secretary of State conditionally certified the Dominion Democracy Suite 4.14-A.1 with Adjudication 2.4.1.14601 voting system. Information on this system can be found on the Secretary of State website at <http://www.sos.ca.gov/elections/voting-systems/oversight/dominion-voting/>
- On February 10, 2015, the Secretary of State received an application and technical data package for the testing and approval of the Elections Systems and Software, Inc. (ES&S) Unity 3.4.1.0 voting system. ES&S has provided all of the documentation and software necessary to deem the application complete. OVSTA has provided ES&S with comments on the documentation provided and has requested that an updated set of Use Procedures be provided.
- On April 21, 2015, the Secretary of State received an application from Clear Ballot Group for its Clear Count Central Count Scanner. Clear Ballot Group only submitted the application form, but did not submit any of the associated documentation, software, hardware, etc., to deem the application complete. The Secretary of State will be submitting a response to Clear Ballot Group regarding the next steps in this application process.

### **Administrative Approval Requests**

California Elections Code section 19213 precludes a voting system or part of a voting system that has been approved by the Secretary of State from being changed or modified until the Secretary of State has determined the change “does not impair its accuracy and efficiency. . . .”

Information on submitting an administrative approval request is in “[Requesting Administrative Approval of a Change or Modification to an Approved Voting System.](#)”

- Dominion submitted an application for the modification to its conditionally approved Democracy Suite 4.14-A.1 voting system. The application only includes a modification to central count optical scan (CCOS) hardware component and leaves the remainder of the recently certified Democracy Suite 4.14.A.1 unchanged. Dominion is requesting the addition of the Canon DR-

G1130 commercial off the shelf scanner as a secondary CCOS to work in conjunction with its currently approved ImageCast Central software version 4.14.4.

- Sonoma County has submitted an application for the modification to its approved Mark-A-Vote voting system and BCWin ballot counting system. The application includes a request to upgrade to a new central processing unit (CPU) and upgrade its operating system to Windows 7. Additionally, it will need to modify the associated controllers and drivers required to operate the system.

### **Testing and Certification at the EAC**

The EAC provides a [weekly update](#) of voting system testing. As of May 5, 2015, the current testing and certification status for systems relevant to California is:

**Dominion Democracy Suite 4.14-E.** EAC received an application for Democracy Suite 4.14-E on March 30, 2015. EAC is reviewing the application. Related documents can be found on the EAC [website](#).

**ES&S EVS 5.4.0.0.** EAC approved the application for the ES&S EVS 5.4.0.0 test campaign on February 23, 2015. Related documents can be found on the EAC [website](#).

**Everyone Counts eLect Voting Solution 4.0.** The EAC is waiting for additional information related to the system. Related documents can be found on the EAC [website](#).

**Hart InterCivic Verity 1.0.** The EAC received the Test Report Rev. 3 on April 30, 2015, and will have all comments or an approval of the Report to SLI in May. Related documents can be found on the EAC [website](#).

The EAC's "[Voting Systems Under Test](#)" and "[Certified Voting Systems](#)" pages provide more specifics on each voting system.

### **Defects, Failures and Faults**

Defects, failures and faults (problem reports) of (1) voting system hardware and software and (2) ballot printing manufacturing and finishing are reported to the Secretary of State.

- The Secretary of State received a problem report for the Dominion Democracy Suite 4.14-A.1 with Adjudication 2.4.1.14601 voting system, specifically on the Adjudication component. However, the problem reported is not part of the California configuration. For more information, please contact your vendor or OVSTA.

### **Ballot Printing Certification Requests**

Ballot Manufacturer and Finisher Applications Under Review:

- K&H Printers has requested approval to use the Canon/Océ Color Stream 3900 inkjet printer to print Sequoia Optech ballots at its Everett, Washington facility.

A list of certified ballot printers can be found at <http://www.sos.ca.gov/elections/voting-systems/certification-and-approval/ballot-printer-certification/>.