



January 11, 2017

County Clerk/Registrar of Voters (CC/ROV) Memorandum #17003

**TO:** All County Clerks/Registrars of Voters

**FROM:** /s/ Susan Lapsley  
Deputy Secretary of State and Counsel

**RE:** Voting Systems: OVSTA Monthly Update – January 2017

Each month, the Office of Voting Systems Technology Assessment (OVSTA) provides an update on Election Assistance Commission (EAC) and Secretary of State (SOS) activities related to voting systems.

Each update provides information on:

- Voting system related events that may be of interest.
- Administrative approvals and denials since the prior month's update.
- Administrative approval requests since the prior month's update.
- Voting system testing and approval at the SOS office.
- Voting system testing and certification at the EAC.
- Defect, failure, and fault reports issued since the prior month's update.
- Ballot printing certification requests since the prior month's update.

### **Recent and Upcoming Events**

OVSTA is finalizing the documents for the formal rule making process for the proposed Ballot Printing regulations and Electronic Poll Book regulations.

### **Testing and Approval by the State of California**

- The Elections Systems and Software, Inc. (ES&S) Unity 3.4.1.0 voting system testing has been completed. The public hearing has been re-scheduled for February 15, 2017, at the California Secretary of State building, 1500 11<sup>th</sup> Street, Sacramento in the auditorium at 10 a.m.
- Dominion Voting Systems Inc. submitted an application for their Democracy Suite 5.2 voting system on December 18, 2016. Their application is currently under review.
- ES&S's application for their EVS 5.2.1.0 voting system is currently under review.
- Votem Corporation's application for their ABVote 4.01 remote accessible vote-by-mail product is currently under review.

- Prime III's application for their Prime III Absentee remote accessible vote-by-mail product is currently under review.
- Democracy Live Inc. submitted an application for their Secure Select 1.0 remote accessible vote-by-mail product on December 19, 2016. Their application is currently under review.

### **Administrative Approval Requests**

California Elections Code section 19216 precludes a voting system or part of a voting system that has been approved by the Secretary of State from being changed or modified until the Secretary of State has determined the change "does not impair its accuracy and efficiency. . . ."

- Runbeck Election Services is currently working on finalizing their application for their Novus V3.0 product.

Information on submitting an administrative approval request is in "[Requesting Administrative Approval of a Change or Modification to an Approved Voting System.](#)"

### **Administrative Approvals**

- There were no administrative approvals in December.

### **Testing and Certification at the EAC**

The EAC provides a [weekly update](#) of voting system testing. As of the last update on January 10, 2017, the current testing and certification status for systems relevant to California is:

**ClearBallot Group ClearVote 1.4.** The EAC is reviewing Revision A of the test plan and will have comments or approval by January 13, 2017. Related documents can be found on the EAC [website](#).

**Dominion Democracy Suite 5.0.** The EAC received Revision A of the test report on January 6, 2017. The EAC is reviewing Revision A of the test report and will have comments or approval by January 12, 2017. Related documents can be found on the EAC [website](#).

**ES&S EVS 5.2.2.0.** NTS is working on the test report. Related documents can be found on the EAC [website](#).

**ES&S EVS 5.4.0.0.** The EAC received the draft test report on December 15, 2016, and will provide comments or approval to NTS by January 17, 2017. Related documents can be found on the EAC [website](#).

**Everyone Counts elect Quad Audit 4.4.0.** The EAC is reviewing the test plan and will provide comments to Pro V&V by January 10, 2017. Related documents can be found on the EAC [website](#).

The EAC's "[Voting Systems Under Test](#)" and "[Certified Voting Systems](#)" pages provide more specifics on each voting system.

### **Defects, Failures, and Faults**

Defects, failures, and faults (problem reports) of (1) voting system hardware and software and (2) ballot printing manufacturing and finishing are reported to the Secretary of State.

- There were no defect reports reported to OVSTA for the month of December.

### **Ballot Printing Certification Approvals**

- The Certified Ballot Printer status of Moonlight Business Processing Outsourcing (BPO) of Bend Oregon was revoked on December, 12, 2016.

A list of certified ballot printers can be found at:

<http://elections.cdn.sos.ca.gov/pdfs/approved-vendors-2017-01.pdf>

### **Ballot on Demand Printing Approvals**

- There were no ballot on demand printing requests or approvals in December.

A list of certified ballot on demand systems and jurisdictions can be found at:

<http://votingsystems.cdn.sos.ca.gov/cert-and-approval/ballots/cert-bod-2015.pdf>

### **Electronic Poll Book Certification Requests & Approvals**

- ES&S's application for their ExpressPoll EZRoster v3.3.1.0 is under review.