



DEBRA BOWEN | SECRETARY OF STATE | STATE OF CALIFORNIA
OFFICE OF VOTING SYSTEMS TECHNOLOGY ASSESSMENT
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October 21, 2008

County Clerk/Registrar of Voter (CC/ROV) Memorandum #08304

TO: All County Clerks/Registrars of Voters

FROM:

A handwritten signature in black ink, appearing to read "Jason Heyes", written over a horizontal line.

Jason Heyes
Voting Systems Analyst

RE: Emergency Regulations: Post Election Manual Tally Requirements

On **October 20**, 2008, the Office of Administrative Law approved the Emergency Regulations on Post Election Manual Tallies submitted by the Secretary of State. The emergency regulations were filed with the Secretary of State and are effective immediately. These regulations will remain in effect for a minimum of 180 days. More information can be found on our website at http://www.sos.ca.gov/elections/elections_peas.htm.

If you have any questions about this, please contact me at jason.heyas@sos.ca.gov or by phone at (916) 651-9163.

Attachment (1)

**State of California
Office of Administrative Law**

In re:

Secretary of State

Regulatory Action:

Title 2, California Code of Regulations

Adopt sections: 20120, 20121, 20122,
20123, 20124, 20125,
20126, 20127

Amend sections:

Repeal sections:

NOTICE OF APPROVAL OF EMERGENCY
REGULATORY ACTION

Government Code Section 11349.6


OAL File No. 2008-1009-02 E

This emergency rulemaking adopts the already promulgated post election manual tally requirements in close contests, pursuant to *County of San Diego v. Debra Bowen* (2008) 166 Cal.App.4th 501. It requires that in election contests where the margin of victory is less than half of one percent (0.5%) a manual tally of ten percent of the precincts of the contested race be conducted in addition to that already required by Elections Code section 15360.

OAL approves this emergency regulatory action pursuant to sections 11346.1 and 11349.6 of the Government Code.

This emergency regulatory action is effective on 10/20/2008 and will expire on 4/21/2009. The Certificate of Compliance for this action is due no later than 4/20/2009.

Date: 10/20/2008


Holly Geneva Stout
Staff Counsel

For: LINDA C. BROWN
Deputy Director

Original: Debra Bowen
Copy: Pam Giarrizzo

EMERGENCY

STATE OF CALIFORNIA--OFFICE OF ADMINISTRATIVE LAW
NOTICE PUBLICATION/REGULATIONS SUBMISSION

(See instructions on reverse)

For use by Secretary of State only

STD. 400 (REV. 01-08)

OAL FILE NUMBERS	NOTICE FILE NUMBER Z-	REGULATORY ACTION NUMBER	EMERGENCY NUMBER 2008-1009-02E
For use by Office of Administrative Law (OAL) only		2008 OCT -9 PM 1:17	
NOTICE		OFFICE OF ADMINISTRATIVE LAW	
AGENCY WITH RULEMAKING AUTHORITY Secretary of State		AGENCY FILE NUMBER (If any)	

ADOPTED
DATE 9/30/08
2008 OCT 20 PM 4:27
John Brown
STATE CLERK
OFFICE OF THE SECRETARY OF STATE

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE	TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other	4. AGENCY CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn	ACTION ON PROPOSED NOTICE	NOTICE REGISTER NUMBER	PUBLICATION DATE

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Post Election Manual Tally Requirements in Close Contests	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) None		
2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)			
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT 20120, 20121, 20122, 20123, 20124, 20125, 20126, 20127		
TITLE(S) Title 2	AMEND REPEAL		
3. TYPE OF FILING			
<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346) <input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4) <input checked="" type="checkbox"/> Emergency (Gov. Code, §11346.1(b))	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute. <input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)		
4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1) n.a.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h)) <input type="checkbox"/> File & Print <input type="checkbox"/> Other (Specify)		
5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100) <input type="checkbox"/> Print Only		
<input type="checkbox"/> Effective 30th day after filing with Secretary of State <input checked="" type="checkbox"/> Effective on filing with Secretary of State	<input type="checkbox"/> §100 Changes Without Regulatory Effect <input type="checkbox"/> Effective other (Specify)		
6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY			
<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660) <input type="checkbox"/> Other (Specify)	<input type="checkbox"/> Fair Political Practices Commission <input type="checkbox"/> State Fire Marshal		
7. CONTACT PERSON Pam Giarrizzo, Chief Counsel	TELEPHONE NUMBER (916) 653-7244	FAX NUMBER (Optional)	E-MAIL ADDRESS (Optional) PGiarrizzo@sos.ca.gov

8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE <i>Pam Giarrizzo</i>	DATE 10/9/08
TYPED NAME AND TITLE OF SIGNATORY Pam Giarrizzo, Chief Counsel	

TEXT OF PROPOSED EMERGENCY REGULATIONS

Add Sections 20120, 20121, 20122, 20123, 20124, 20125, 20126 and 20127 of Chapter 3 to Division 7 of Title 2 of the California Code of Regulations.

Chapter 3. Post Election Manual Tallies.

§ 20120. Purpose and Applicability.

(a) The purpose of this chapter is to establish standards and procedures for conducting increased manual tallies in contests in which the margin of victory is very narrow.

(b) This chapter applies to the Secretary of State and all elections officials within the State of California for all elections in this state conducted in whole or in part on a voting system, the approval of which is conditioned by the Secretary of State on performance of increased manual tallies in contests with narrow margins of victory.

Note: Authority cited: Section 12172.5, Government Code; Sections 10, 19100, 19205, 19222, Elections Code.

Reference: Sections 19100, 19205, 19222, Elections Code.

§ 20121. Increased manual tally in contests with narrow margins of victory.

(a) After each election, the elections official shall determine the margin of victory in each contest based upon the semifinal official canvass results, as defined in Elections Code section 353.5.

(1) For single-winner elections, the margin of victory is the difference between the percentage of votes won by the candidate with the number of votes needed to win the seat and the percentage of votes won by the candidate with the next lowest number of votes.

(2) For multi-winner elections, the margin of victory is the difference between the percentage of votes won by the candidate with the lowest number of votes needed to win a seat and the percentage of votes won by the candidate with the next lowest number of votes. For example, for a contest with three open seats, the margin of victory would be the difference between the percentage of the votes won by the third and fourth place candidates.

(3) For ballot measure contests, including recall contests, the margin of victory is the difference between the percentages of votes for and against the ballot measure.

(b) For any contest in which the margin of victory is less than one half of one percent (0.5%), the elections official shall conduct a manual tally, employing the methods set forth in Elections Code section 15360, of ten percent (10%) of randomly selected precincts. The ten percent (10%) manual tally shall apply only to votes cast in the contest

or contests with a margin of victory less than one half of one percent (0.5%), not to other contests on the same ballot in which the margin of victory equals or exceeds one half of one percent (0.5%).

(c) Precincts manually tallied under Elections Code section 15360 may be included as part of the ten percent (10%) manual tally.

(d) In any contest in which a ten percent (10%) manual tally would otherwise be required pursuant to subdivision (b), an elections official may instead conduct a one hundred percent (100%) manual tally of the ballots.

(e) The elections official shall begin the manual tally as soon as practicable after the random selection of precincts for the manual tally.

(f) The manual tally shall be conducted in public view by hand without the use of electronic scanning equipment.

(g) Individuals performing the manual tally shall not at any time during the manual tally process be informed of the corresponding machine tally results.

(h) A poll worker participating in the manual tally shall not be assigned to tally the results from a precinct in which that individual served as a poll worker on Election Day.

(i) The elections official shall take appropriate measures to ensure that direct recording electronic (DRE) ballots that were cancelled before being cast are not inadvertently tallied as valid ballots in the manual tally process.

(j) The elections official shall take appropriate measures to ensure that damaged or defective ballots are not inadvertently tallied as valid ballots in the manual tally process.

Note: Authority cited: Section 12172.5, Government Code; Sections 10, 19100, 19205, 19222, Elections Code.

Reference: Sections 19100, 19205, 19222, Elections Code.

§ 20122. Contests voted upon in more than one jurisdiction.

(a) In any contest voted upon in more than one jurisdiction, the elections official in each jurisdiction in which votes were cast in the contest shall determine whether a ten percent (10%) manual tally is required by section 20121, subdivision (b) by calculating the overall margin of victory in all jurisdictions in which votes were cast in the contest. The examples in subdivisions (a)(1) and (a)(2) below of contests voted upon in two counties illustrate the application of the general rule stated in this subdivision (a).

(1) If the margin of victory in a contest voted upon in counties A and B is less than one half of one percent (0.5%) within county A but the overall margin of victory in

counties A and B combined is more than one half of one percent (0.5%), then a ten percent (10%) manual tally is not required in either county.

(2) If the margin of victory in a contest voted upon in counties A and B is more than one half of one percent (0.5%) within county A but the overall margin of victory in counties A and B combined is less than one half of one percent (0.5%), then County A shall conduct a manual tally of a randomly selected ten percent (10%) of the County A precincts in which voters cast ballots for that contest and County B shall conduct a manual tally of a randomly selected ten percent (10%) of the County B precincts in which voters cast ballots for that contest.

(b) For a legislative or statewide contest, the elections official shall determine whether a ten percent (10%) manual tally is required based upon the semifinal official canvass results and margin of victory for the entire district for a legislative contest or the entire state for a state contest posted on the canvass website of the Secretary of State.

Note: Authority cited: Section 12172.5, Government Code; Sections 10, 19100, 19205, 19222, Elections Code.

Reference: Sections 19100, 19205, 19222, Elections Code.

§ 20123. Determination, counting and disclosure of variances.

(a) A "variance" is any difference between the machine tally and the manual tally for a contest. For purposes of determining whether additional precincts must be manually tallied under section 20124, variances found in the manual tally sample for a given contest are presumed to exist in at least the same proportion in the remaining ballots cast in the contest. The examples in subdivisions (a)(1) through (a)(3) illustrate how the number of variances in a contest should be calculated.

(1) If the manual tally establishes that the machine tally erroneously attributed a vote for Candidate A to Candidate B, two variances result because the vote totals for Candidate A and for Candidate B are each changed by one vote in the manual tally.

(2) If the manual tally establishes that the machine tally erroneously attributed a vote for Measure 1 as a vote against Measure 1, two variances result because the vote totals for Measure 1 and against Measure 1 are each changed by one vote in the manual tally.

(3) If the manual tally determines that a vote was cast in a contest on a ballot that the machine tally interpreted as an undervote in the contest, one variance results because the machine tally undervote becomes a vote for a candidate or a vote for or against a measure in the manual tally.

(b) An elections official must document and disclose to the public any variances between the semifinal official canvass results and the manual tally results.

Note: Authority cited: Section 12172.5, Government Code; Sections 10, 19100, 19205, 19222, Elections Code.

Reference: Sections 19100, 19205, 19222, Elections Code.

§ 20124. Manual tally escalation requirements for variances.

(a) The elections official shall calculate the variance percentage for any contest with one or more variances by dividing the total number of variances found in the manual tally sample for the contest by the total number of votes cast for that contest in the manual tally sample. For single-winner contests, only variances that narrow the margin between the winner and any of the losers shall be included in the total number of variances. For multi-winner contests, only variances that narrow the margin of victory between any of the winners and any of the losers shall be included in the total number of variances. If the variance percentage represents at least one-tenth (10%) of the margin of victory for that contest based on the semifinal official canvass results, then additional precincts must be manually tallied for that contest as provided in subdivision (b).

(b) Additional precincts shall be tallied in randomly selected blocks of five percent (5%) until the total number of variances presumed to exist – re-calculated using the method above – is smaller than ten percent (10%) of the overall margin of victory in that contest, based on the semifinal official canvass results, or until all ballots have been manually tallied, whichever occurs first.

(c) If any variance is found between manually tallied voter verifiable paper audit trail (VVPAT) records and corresponding electronic vote results that cannot be accounted for by some obvious mechanical problem, then the VVPAT records, memory cards and devices, and direct recording electronic (DRE) voting machines must be preserved and the Secretary of State must be notified in order to allow for an investigation to determine the cause of the problem. The Secretary of State shall conduct the investigation in such a manner as to minimize adverse impact on the conclusion of the canvass and certification of the election, as well as preparation for any upcoming elections.

Note: Authority cited: Section 12172.5, Government Code; Sections 10, 19100, 19205, 19222, Elections Code.

Reference: Sections 19100, 19205, 19222, Elections Code.

§ 20125. Records To Be Maintained During And After The Manual Tally Process.

(a) The elections official shall keep a log to record the manual tally process, including the results of each round of manual tallying for each precinct included in the sample, how variances were resolved, and details of any actions taken that are contrary to this chapter. The elections official shall make the log available to the public.

(b) The elections official shall track, record in the log and report to the public by precinct the number of undervotes and overvotes discovered in the manual tally of a contest.

Note: Authority cited: Section 12172.5, Government Code; Sections 10, 19100, 19205, 19222, Elections Code.

Reference: Sections 19100, 19205, 19222, Elections Code.

§ 20126. Public Right To Observe.

(a) The elections official shall make any semifinal official canvass precinct tally results available to the public before the manual tally of the results from those precincts begins.

(b) The elections official shall comply with the notice requirements established in Elections Code §15360 when conducting any post-election manual tallying required by this chapter. This notice requirement may be satisfied by providing a single notice containing the times and places of:

- (1) the initial selection of precincts for the one percent (1%) manual tally and any ten percent (10%) manual tally required;
- (2) the beginning of the manual tally process; and
- (3) any additional random selection of precincts which may become necessary to comply with escalation requirements.

(c) The elections official shall permit the public to observe all parts of the manual tally process, including the random selection of precincts, in a manner that allows them to verify the tally. The elections official shall not permit members of the public to touch ballots, voter verifiable paper audit trails or other official materials used in the manual tally process or to interfere in any way with the process.

Note: Authority cited: Section 12172.5, Government Code; Sections 10, 19100, 19205, 19222, Elections Code.

Reference: Sections 19100, 19205, 19222, Elections Code.

§ 20127. Completion Within Official Canvass Period.

For any contest in which an increased manual tally is required by this chapter, the elections official shall complete all tasks and make all reports required by this chapter within the canvass period established by Elections Code sections 10262 and 15372.

Note: Authority cited: Section 12172.5, Government Code; Sections 10, 19100, 19205, 19222, Elections Code.

Reference: Sections 19100, 19205, 19222, Elections Code.