November 14, 2016

County Clerk/Registrar of Voters (CC/ROV) Memorandum #16328

TO: All County Clerks/Registrars of Voters

FROM: /s/ Susan Lapsley
               Deputy Secretary of State and Counsel

RE: Voting Systems: OVSTA Monthly Update – November 2016

Each month, the Office of Voting Systems Technology Assessment (OVSTA) provides an update on Election Assistance Commission (EAC) and Secretary of State (SOS) activities related to voting systems.

Each update provides information on:

- Voting system related events that may be of interest.
- Administrative approvals and denials since the prior month's update.
- Administrative approval requests since the prior month's update.
- Voting system testing and approval at the SOS office.
- Voting system testing and certification at the EAC.
- Defect, failure, and fault reports issued since the prior month's update.
- Ballot printing certification requests since the prior month's update.

Recent and Upcoming Events

CACEO's New Law Conference is being held during the week of December 12, 2016. During the New Law Conference most voting system vendors hold their annual User Group Meeting. For information regarding your User's Group Meeting, contact your vendor directly.

OVSTA is finalizing the documents for the formal rule making process for the proposed Ballot Printing regulations and Electronic Poll Book regulations.

Testing and Approval by the State of California

- The Elections Systems and Software, Inc. (ES&S) Unity 3.4.1.0 voting system testing has been completed.

- Dominion Voting Systems Inc.'s application for their Democracy Suite 5.1 voting system is currently under review.

- ES&S's application for their EVS 5.2.1.0 voting system is currently under review.

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- Votem Corporation's application for their ABVote 4.01 remote accessible vote-by-mail product is currently under review.
- Prime III submitted an application for their Prime III remote accessible vote-by-mail product on October 31, 2016.

Administrative Approval Requests

California Elections Code section 19216 precludes a voting system or part of a voting system that has been approved by the Secretary of State from being changed or modified until the Secretary of State has determined the change "does not impair its accuracy and efficiency. . . ."

- Runbeck Election Services is currently working on finalizing their application for their Novus V3.0 product.

Information on submitting an administrative approval request is in "Requesting Administrative Approval of a Change or Modification to an Approved Voting System."

Administrative Approvals

- There were no administrative approvals in October.

Testing and Certification at the EAC

The EAC provides a weekly update of voting system testing. As of the last update on November 1, 2016, the current testing and certification status for systems relevant to California is:

ClearBallot Group ClearVote 1.4. Pro V&V is working on the test plan. Related documents can be found on the EAC website.

Dominion Democracy Suite 5.0. Pro V&V is working through testing and drafting the test report. Related documents can be found on the EAC website.

ES&S EVS 5.2.2.0. The EAC and NTS are working through comments on the test plan. Related documents can be found on the EAC website.

ES&S EVS 5.4.0.0. NTS is finishing testing and drafting the test report. Related documents can be found on the EAC website.

Everyone Counts elect Quad Audit 4.4.0. The EAC, Everyone Counts, and Pro V&V are discussing testing plans and holding a kick-off meeting this week. Related documents can be found on the EAC website.

Hart Verity Voting 2.2. The EAC received the test report on October 24, 2016, and will provide comments or approval by November 21, 2016. Related documents can be found on the EAC website.

The EAC's "Voting Systems Under Test" and "Certified Voting Systems" pages provide more specifics on each voting system.

Defects, Failures, and Faults

Defects, failures, and faults (problem reports) of (1) voting system hardware and software and (2) ballot printing manufacturing and finishing are reported to the Secretary of State.

- Dominion Voting Systems provided a Product Advisory Notice on October 24, 2016, for their WinEDS 4.0 product.

Ballot Printing Certification Approvals

- Consolidated Printers in Berkeley, California, was re-inspected on October 7, 2016, and their status as a certified printer remains unchanged.

- MoonLightBPO in Bend, Oregon was re-inspected on October 5, 2016. They are resolving deficiencies.

- ABGraphics Communications in Mira Loma, California, was re-inspected on October 14, 2016, and their status as a certified printer remains unchanged.

- Martin & Chapman Co. in Anaheim, California, was re-inspected on October 14, 2016, and their status as a certified printer remains unchanged.

- Orange County Publishing Services in Santa Ana, California, was re-inspected on October 14, 2016, and their status as a certified printer remains unchanged.

A list of certified ballot printers can be found at:
http://elections.cdn.sos.ca.gov//pdfs/approved-vendors-2016-01.pdf

Ballot on Demand Printing Approvals

- San Bernardino County requested and has been approved for two additional ballot on demand printer locations in Yucca Valley and Rim of the World High School.

- Humboldt County has requested an approval to move their ballot on demand printer to their new location at 2426 6th Street, Eureka, California 95501.

- Santa Barbara County has requested and been approved for an additional ballot on demand printer at their elections office.

A list of certified ballot on demand systems and jurisdictions can be found at:
http://votingsystems.cdn.sos.ca.gov/cert-and-approval/ballots/cert-bod-2015.pdf

Electronic Poll Book Certification Requests & Approvals

- ES&S's application for their ExpressPoll EZRoster v3.3.1.0 is under review.