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September 7, 2018

County Clerk/Registrar of Voters (CC/ROV) Memorandum #18217

TO: All County Clerks/Registrars of Voters

FROM: /s/ Susan Lapsley
Deputy Secretary of State and Counsel

RE: Voting Systems: OVSTA Monthly Update – September 2018

Each month, the Office of Voting Systems Technology Assessment (OVSTA) provides an update on Election Assistance Commission (EAC) and Secretary of State (SOS) activities related to voting technology.

Each update provides information on:

- Voting technology related events that may be of interest.
- Administrative approvals and denials since the prior month's update.
- Administrative approval requests since the prior month's update.
- Voting technology testing and approval at the SOS office.
- Voting system testing and certification at the EAC.
- Defect, failure, and fault reports issued since the prior month's update.
- Ballot printing certification requests since the prior month's update.

Recent and Upcoming Events

A public hearing has been scheduled for September 19, 2018, at the California Secretary of State Building, 1500 11th Street, Sacramento, in the Auditorium at 9 a.m., for HART InterCivic Verity Voting 3.0.1 Voting System. The public hearing announcement can be found at: <http://www.sos.ca.gov/elections/voting-systems/oversight/public-announcements-and-hearings/>.

The expenditure of \$134 million in California's 2018-2019 budget to replace voting systems for all of the state's 58 counties was approved by the governor on Wednesday, June 27, 2018. The funding is to be provided as a one-time General Fund spending to purchase new hardware, software, and initial licensing to replace existing systems and technology. However, counties will be required to provide a dollar-for-dollar match to receive the state funding. A CCROV was issued on August 29, 2018 with information about the money and allocation amounts per county. More information can be located at: <https://elections.cdn.sos.ca.gov/ccrov/pdf/2018/august/18206sl.pdf>.

Voting System and RAVBM Testing and Approval

OVSTA completed certification testing of Los Angeles County's VSAP Tally Version 1.1.2.2 central tabulator. A public hearing was held July 30, 2018, at the California Secretary of State building. Written public comment was accepted through August 15, 2018. Los Angeles County's VSAP Tally Version 1.1.2.2 central tabulator was certified by the Secretary of State on August 21, 2018.

OVSTA concluded certification testing of Hart InterCivic's Verity 3.0.1 Voting System, a public hearing has been scheduled for September 19, 2018.

Application status is now available online at:

[Voting Technology Applications Status | California Secretary of State](#).

System	Type	Applicant	Submission Date	Testing Phase
Verity 3.0.1	Voting System	Hart InterCivic	09/14/2017	Public Hearing – September 19, 2018
VSAP Tally Version 1.1.2.2	Voting System	Los Angeles County	09/19/2017	Certified August 21, 2018

Administrative Approval Requests and Approvals

Dominion Voting Systems Inc. was provided administrative approval for a firmware upgrade to the High Speed Scanner (HSS) approved for use with the Democracy Suite 5.2 Voting Systems on September 6, 2018. The certificate of approval can be found at: <https://votingsystems.cdn.sos.ca.gov/vendors/dominion/hss-aa.pdf>.

Information on submitting an administrative approval request is available at: "[California Application for Approval of a Voting Technology](#)."

Testing and Certification at the EAC

The EAC provides [updates](#) on voting system testing. The latest update from the EAC was provided on June 23, 2018. However, based upon discussions with vendors, no systems currently undergoing testing and certification at the EAC are relevant to California.

Additional specifics regarding each voting system are available at: "[Voting Systems Under Test](#)" and "[Certified Voting Systems](#)".

Defects, Failures, and Faults

There were no defects, failures or faults in August.

Ballot Printing Certification Requests and Approvals

K&H Integrated Print Solutions was successful in the inspection of the expansion to their printing facility located at 7720 Hardeson Road, Everett, Washington. Inspection of the facility was performed on August 23, 2018.

Runbeck Election Services was successful in the inspection of their new printing facility located at 2800 S. 36th Street in Phoenix, Arizona. Inspection of the new facility was performed on August 31, 2018.

Mailing Systems, Inc. (MSI) was successful in their biennial inspection of their certified ballot printing facility located at 2431 Mercantile Drive, Suite A, in Rancho Cordova, California. The certified status of this facility remains unchanged.

Mailing Systems, Inc. (MSI) was approved to function as a printer of Dominion ImageCast ballots on their Richoh Pro C9110 press on September 5, 2018.

A list of certified ballot printers can be found at:

<http://votingsystems.cdn.sos.ca.gov/cert-and-approval/ballots/approved-vendors-2018-05.pdf>.

Ballot on Demand Printing Requests and Approvals

There were no ballot on demand printing request or approvals in August.

A list of certified ballot on demand systems and jurisdictions can be found at:

<http://votingsystems.cdn.sos.ca.gov/cert-and-approval/ballots/cert-bod-2018-04.pdf>.

Electronic Poll Book Certification Requests and Approvals

OVSTA began certification testing of the Robis AskED v.3.4 electronic poll book on July 16, 2018. Certification testing will continue through the coming months.

OVSTA will begin certification testing of the DemTech Advocate Precinct Management System electronic poll book on September 10, 2018. Certification testing will continue through the coming months.

Application status is now available online at:

[Voting Technology Applications Status | California Secretary of State](#).

System	Type	Applicant	Submission Date	Testing Phase
AskED V.3.4	ePollBook	Robis	01/05/2017	Testing (Functional)
Advocate Precinct Management System	ePollBook	DemTech	06/26/2017	Testing (Test Plan)

Disposal or Sale of Equipment Purchased with Help America Vote Act (HAVA) and/or Voting Modernization Bond (VMB) Funding

In 2012, the California Secretary of State issued guidance regarding the disposition or sale of equipment purchased with HAVA and/or VMB funding. See [Secretary of State \(SOS\) County Clerk/Registrar of Voters \(CC/ROV\) Memorandum #12219 - Handling the Sale and Funds from the Sale of Voting Systems or Voting Equipment](#). The document

outlines proper disposal or sale procedures of voting systems or voting equipment purchased with HAVA and/or VMB funding. The document also highlights that all equipment must be void of any software or firmware and returned to a condition of a non-functioning piece of hardware prior to its disposal or sale.

Also, the EAC issued [Election Assistance Commission \(EAC\) Funding Advisory Opinion FAO-08-007](#). The document outlines proper disposal or sale account procedures for original or replacement equipment acquired with HAVA funding.