Date  July 31, 2013

County Clerk/Registrar of Voters (CC/ROV) Memorandum #13060

TO:    All County Clerks/Registrars of Voters

FROM:  /s/ Lowell Finley
        Chief Counsel

RE:    Elections Procedures: 2013-14 State Budget Mandate Suspensions

On June 27, 2013, Governor Brown signed the state budget and numerous budget-related trailer bills into law. The main budget bill, AB 110 (Blumenfield), Chapter 20, Statutes of 2013, identifies as suspended nine elections-related mandates for the fiscal year 2013-14. Additionally, one other previously identified mandate continues to be suspended.¹

Three of the mandates are suspended for the first time by AB 110. Six of the mandates suspended by AB 110 were previously suspended for the 2011-12 and 2012-13 fiscal years. One of the six, the Absentee Ballots mandate in Chapter 77, Statutes of 1978, also suspends related Chapter 1032, Statutes of 2002. The tenth suspended mandate, Permanent Absent Voters (Chapter 1422, Statutes of 1982), is not separately identified in AB 110, but was suspended in the 2011-12 and 2012-13 budgets, and as a result, it remains suspended for 2013-14 under Government Code section 17581(f).

These ten mandates, which are listed beginning on Page 625 of the chaptered version of AB 110 and which are identified below as “Previous,” for previously identified mandates, and “New,” for newly identified mandates, are as follows:

Previous

- Handicapped Voter Access Information Act (Chapter 494, Statutes of 1979)
- Fifteen-Day Close of Voter Registration (Chapter 899, Statutes of 2000)
- Absentee Ballots (Chapter 77, Statutes of 1978 and New: Chapter 1032, Statutes of 2002)
- Absentee Ballots – Tabulation by Precinct (Chapter 697, Statutes of 1999)

¹ Government Code section 17581(f) provides that, “[a]ll state-mandated local programs suspended in the Budget Act for the 2012-13 fiscal year shall also be suspended in the 2013-14 and 2014-15 fiscal years.”
• Brendon Maguire Act (Chapter 391, Statutes of 1988)
• Voter Registration Procedures (Chapter 704, Statutes of 1975)
• Permanent Absent Voters I (Chapter 1422, Statutes of 1982)

New
• Permanent Absent Voters II (Chapter 922, Statutes of 2001; Chapter 664, Statutes of 2002; and Chapter 347, Statutes of 2003)
• Modified Primary Election (Chapter 898, Statutes of 2000)
• Voter Identification Procedures (Chapter 260, Statutes of 2000)

The chaptered version of AB 110 can be found at leginfo.ca.gov/pub/13-14/bill/asm/ab_0101-0150/ab_110_bill_20130627_chaptered.pdf.

**What Do the Mandate Suspensions in AB 110 Mean?**

Pursuant to Government Code section 17581 and AB 110, the seven “previously” identified mandate suspensions are in effect for the 2013-14 and 2014-15 fiscal years and the three “newly” identified mandate suspensions, plus part of the mandate relating to Absentee Ballots, are in effect for the 2013-14 fiscal year only.

This means no claims will be paid for any costs incurred by county elections officials who choose to voluntarily provide these services during these time frames. County elections officials may still file claims for the cost of complying with these and other mandates in the 2013-14 and 2014-15 fiscal years. However, it is likely that payments associated with these claims will be deferred to a future date.

Generally speaking, when a mandate is suspended for a period of time, the local governmental entity is not required to provide the service during that time frame.

There are exceptions to this general rule. The specific language related to mandate suspensions can be found in Government Code section 17581 at leginfo.ca.gov/cgi-bin/displaycode?section=gov&group=17001-18000&file=17575-17581.7.

It is critically important to remember that each mandate suspension only applies to the reimbursable portion of each mandate and the Commission on State Mandates has already determined what is and is not a reimbursable mandate. County elections officials are still required to carry out the portions of each of the mandates suspended by AB 110 that the Commission has ruled never were or no longer are reimbursable.
Pursuant to Government Code section 17581(b), the state Department of Finance will formally notify each local agency about the mandate suspensions within 30 days of AB 110 being chaptered into law.

Based on a reading of the laws in question and the rulings (in the form of a "Test Claim Statement of Decision," "Parameters and Guidelines," or "Claiming Instructions") issued by the Commission on State Mandates or the State Controller's office, the following is the Secretary of State's view of what each of the elections-related mandate suspensions contained in AB 110 means to voters and county elections officials for the 2013-14 and 2014-15 fiscal years.

**Previous: Handicapped Voter Access Information Act**  
Chapter 494, Statutes of 1979

AB 110 has no practical effect on the law requiring county elections officials to (1) inform voters whether their polling place is accessible to voters with disabilities and that they have the right to receive assistance in marking their ballot, and (2) to survey polling places to determine whether they are accessible to voters with disabilities.

While the state mandate to provide this information and conduct these tasks is suspended under AB 110, similar requirements are imposed by the 1984 federal Voting Accessibility for the Elderly and Handicapped Act, 42 U.S.C. Section 1973ee, et seq., which can be found at found at www.sos.ca.gov/elections/polling-place-accessibility/guidelines/appendix-a-voting-accessibility-for-the-elderly-and-handicapped-act.pdf. Section 3 of the Act requires polling places to be accessible in all federal elections, while Section 5 requires registration and voting aids for voters with specific needs and elderly individuals, including instructions to be printed in large type and conspicuously displayed at each polling place and permanent registration facility.

Under Government Code section 17556(c), a state statute or executive order does not impose a reimbursable state mandate if it imposes a requirement that is mandated by a federal law or regulation and results in costs mandated by the federal government, unless the state statute or executive order mandates costs that exceed the mandate in that federal law or regulation. This rule applies regardless of whether the federal law or regulation was enacted or adopted prior to or after the date on which the state statute or executive order was enacted or issued.

As a result, county elections officials must continue to inform voters whether their polling place is accessible to voters with disabilities and that they have the right to receive assistance in marking their ballot, and to survey polling places to determine whether they are accessible to voters with disabilities.
AB 110 has no effect on the law that requires county elections officials to allow qualified affiants to register to vote 15 days prior to an election. (Elections Code section 2102.)

The Commission on State Mandates determined in 2006 that the reimbursable mandate portion of this law was limited to the one-time expense of redesigning or acquiring computer software to alter the polling place notices sent to affiants who registered to vote between the 29th and the 15th day before the election.

The Commission denied all other claims filed by county elections officials, concluding other provisions of the law did not mandate a new program, a higher level of service, or require county elections officials to bear a cost that had previously been borne by the state.

As a result, county elections officials must continue to allow qualified affiants to register to vote 15 days prior to an election.

AB 110 suspends the requirement that county elections officials provide an absentee (known now as vote-by-mail) ballot to any voter who requests one.

However, AB 110’s suspension of this mandate has no effect on the law in effect prior to the passage of the no-fault vote-by-mail statute, which required county elections officials to make vote-by-mail ballots available to voters who:

- Are ill,
- Will be absent from their precinct on Election Day,
- Have a physical disability,
- Have conflicting religious commitments, or
- Live more than ten miles from their polling place.

As a result, county elections must, without state reimbursement, provide vote-by-mail ballots to voters who fall into any one of the categories noted above. County elections officials have the option to provide vote-by-mail ballots to any voter who requests one for any reason, but if they do, they will not be reimbursed for the cost of doing so in the 2013-14 and 2014-15 fiscal years.

While the Secretary of State cannot require county elections officials to exercise the option noted above and provide vote-by-mail ballots to any registered voter
who requests one, doing so will provide a benefit to voters and will likely save
county elections officials money in the current and future fiscal years.

AB 110’s addition of Chapter 1032, Statutes of 2002, to the list of suspended
mandates does not change any of the above with regard to the required and
optional duties of county elections officials. However, it does address the
prorating of costs associated with administering vote-by-mail ballots when there
is a consolidated school district election.

Chapter 1032, Statutes of 2002, among other things, added Elections Code
section 3024, which stated that county elections officials could no longer “fully or
partially” prorate to a school district, the “cost to administer vote by mail ballots
where issues and elective offices related to school districts, as defined by
Section 17519 of the Government Code, are included on a ballot election with
nondeducation issues and elective offices.” The result was a new state mandate
for counties to bear this cost. Because this mandate has been suspended, the
prohibition on passing along the cost to administer vote-by-mail ballots of the
election, either fully or partially, to school districts has been lifted. Accordingly, if
any other election is consolidated with a school district election, and if the county
elections official provides vote-by-mail ballots to any registered voter who
requests one, county elections officials are no longer prohibited from prorating
the costs to school districts.

Previous: Absentee Ballots – Tabulation by Precinct
Chapter 697, Statutes of 1999

AB 110 has no effect on the law requiring county elections officials to tabulate
and report absentee (known now as vote-by-mail) and polling place ballots by
precinct. (Elec. Code section 15321.) That is because the Commission on State
Mandates has ruled previously that this is no longer a reimbursable state
mandate.

However, AB 110 suspends the mandate requiring county elections officials to
maintain an accurate list of all voters, including their precincts, who have
received and voted a vote-by-mail ballot. (Elec. Code section 15111.)

As a result, county elections officials must continue to tabulate and report vote-
by-mail and polling place ballots and report them by precinct. County elections
officials have the option of maintaining an accurate list of all voters, including
their precincts, who have received and voted a vote-by-mail ballot, but if they do,
they will not be reimbursed for the cost of doing so in the 2013-14 and 2014-15
fiscal years.
Previous: Brendon Maguire Act
Chapter 391, Statutes of 1988

AB 110 suspends the law requiring an election to be cancelled and a special election to be held in one particular circumstance. (Elec. Code sections 8026, 8027, 14106, and 14203.)

Section 8026 states that if there are only two candidates (one of whom is the incumbent) on the ballot for a nonpartisan office, that election shall be cancelled if either candidate dies 68 or fewer days prior to Election Day and a special election shall be held at a later date. This does not apply to judicial elections.

Section 8027 requires the nomination period to be re-opened should either of the two candidates in Section 8026 die after the 88th but before the 68th day before the election.

Section 14106 requires elections officials to include, as part of a polling place supply kit, signs or materials to make signs advising voters that an election has been cancelled pursuant to Section 8026.

Section 14203 requires the signs mandated by Section 14106 to be posted in specific locations.

As a result, county elections officials have the option of adhering to the Brendon Maguire Act should the circumstances above be met, but if they do, they would not be reimbursed for the cost of doing so in the 2013-14 and 2014-15 fiscal years. If a county elections official does not adhere to the Brendon Maguire Act and should the deceased candidate receive the most votes, a special election will be conducted pursuant to the standard procedures set forth in Elections Code section 15402(a).

While the Secretary of State cannot prevent county elections officials from applying the Brendon Maguire Act, if each county chooses to follow the process set forth in Elections Code section 15402, it will ensure a consistent application of the law across the state and will likely save county elections officials money in the current and future fiscal years.

Previous: Voter Registration Procedures
Chapter 704, Statutes of 1975

Under the law, the Secretary of State pays to print voter registration cards and pays for the return postage on the cards when the person wishing to register to vote mails the card to the state or their county elections official. (Elec. Code sections 2157(a)(8), 2161, 2164(a)(2).) AB 110 has no effect on these requirements and the Secretary of State will continue to provide these services.
AB 110 **suspends** the laws requiring county elections officials to design and conduct outreach efforts to identify unregistered, eligible citizens and register them to vote. (Elec. Code sections 2103, 2105, 2130; California Code of Regulations, title 2, sections 20000-20005.)

AB 110 also **suspends** the law requiring county elections officials to process certain types of mail-in voter registration cards. However, county elections officials must continue to process other types of voter registration cards received by mail and all voter registration cards received in person.

The Commission on State Mandates has ruled the State Controller's office must reimburse county elections officials for the state mandated costs associated with processing voter registration cards. For a number of years, a formula adopted by the State Controller's office has been used to reimburse county elections officials for those costs.

For the 2013-14 and 2014-15 fiscal years, AB 110 **suspends** the requirement that county elections officials be reimbursed for the cost of processing voter registration cards that are provided directly to affiants by the county or the state and are delivered back to the county elections official by mail. (Elec. Code section 2102(a)(1).) The practical effect is that county elections officials would not be required to process these voter registration cards when they receive them in the mail from voters or the Secretary of State’s office.

However, county elections officials **must continue** to offer in-person registration services to all in-state eligible citizens and must process all voter registration cards that they receive in person and directly from voters or third-party registration drives. (Elec. Code sections 2102(a)(3), 2158(a).)

AB 110 has **no effect** on the requirement to process voter registration cards that county elections officials receive under federal law, regardless of whether those voter registration cards are returned in person or by mail.

This means the following voter registration cards and forms that are returned in person or by mail **must** be processed by county elections officials, regardless of whether reimbursement is provided:


- Registrations completed on the state voter registration form that originated from a state agency that is required to provide voter registration services by the National Voter Registration Act (NVRA). This includes the Department of Motor Vehicles, public assistance agencies, state-funded agencies serving people with disabilities, the Franchise Tax Board, the
Board of Equalization, the California Health Benefit Exchange, and many other entities. Information related to the NVRA can be found at www.sos.ca.gov/elections/nvra/. How a county elections official determines the origin of each form is up to the county elections official. Presumably, this determination will be based on the serial numbers of the voter registration cards provided by county elections officials to the NVRA agencies. (42 U.S.C. 1973gg-4(a)(2); Elec. Code section 2102(a)(2).)

- Registrations from Military and Overseas Voters (those described in Elec. Code sections 300(b), 3101-3123) and pursuant to the federal Uniformed and Overseas Citizens Absentee Voting Act, as amended by the Military and Overseas Voter Empowerment Act. This requirement can be found at codes.lp.findlaw.com/uscode/42/2011G/1973ff-1.

As a result, county elections officials are required to process all voter registration cards noted in the list above that are received by mail, as well as all registration cards received in person, regardless of their origin.

County elections officials have the option of processing voter registration cards that affiants obtained directly from the county, the state, or any other non-NVRA agency and are delivered back to the county elections office by mail. County elections officials who provide this service to voters will not be reimbursed for the cost of doing so in the 2013-14 and 2014-15 fiscal years.

While the Secretary of State cannot require county elections officials to exercise the option noted above to process all voter registration forms received by mail, doing so will provide a benefit to voters and will ensure people who are legally eligible to register to vote are treated equally in all of California’s 58 counties.

Elections Code section 2153 requires county elections officials to contact anyone attempting to register to vote if their registration card is incomplete and to provide such a person with the opportunity to complete their voter registration card.

If a county elections official chooses not to exercise the option noted above, the Secretary of State strongly urges the county elections official to, in the spirit of Elections Code section 2153, contact those whose voter registration cards will not be processed and provide them with options for submitting a voter registration card that the county elections official will process.

**New: Modified Primary Election**
Chapter 898, Statutes of 2000

AB 110 suspends the mandate in Elections Code sections 2151 and 13102(b) for county elections officials to allow voters who do not have a party preference (formerly know as decline-to-state voters) to vote the ballot of a political party in a
presidential primary election. Because no presidential primary election will be held in fiscal years 2013-14 or 2014-15, AB 110 has no practical effect on this requirement in those years. However, if the budget bill for the 2015-16 fiscal year or any future presidential primary fiscal year suspends this mandate, it would have a significant effect on the presidential primary election.

The Commission on State Mandates determined in 2006, and set forth parameters and guidelines in 2011, that the reimbursable mandate portion of Chapter 898, Statutes of 2000, was limited to these specific activities:

Add information to the voter registration card stating that voters who declined to state a party affiliation shall be entitled to vote a party ballot if the political party, by party rule duly noticed to the Secretary of State, authorizes such a person to do so. (Elec. Code section 2151.)

Allow voters who declined to state a party affiliation to vote a party ballot if the political party, by party rule duly noticed to the Secretary of State, authorizes such a person to do so. (Elec. Code sections 2151 and 13102(b).)

As explained in the discussion above of the suspension of Chapter 704, Statues of 1975, the Secretary of State pays to print the voter registration cards. AB 110 has no effect on the requirement that the voter registration card state that voters who do not wish to disclose a party preference shall be entitled to vote a party ballot, as the Secretary of State will continue to provide this information on the voter registration card.

However, AB 110 also suspends the laws requiring county elections officials to allow no party preference voters to vote a party ballot if the political party, by party rule duly noticed to the Secretary of State, authorizes such a person to do so. (Elec. Code sections 2151 and 13102(b).)

The Secretary of State cannot require county elections officials to allow no party preference voters to participate in the presidential primary elections of willing political parties by maintaining the necessary policies and procedures and printing ballots for these voters. However, by not doing so, a county elections official would disenfranchise the fastest growing population of newly registered voters.

If even one county refused to print presidential primary ballots for no party preference voters, precluding a no party preference voter from voting in the presidential primary of a political party, the voter registration card would become misleading to voters in that county, there would be a lack of uniformity, and it would lead to voter confusion.
New: Permanent Absent Voters II and Previous: Permanent Absent Voters I

New: Chapter 922, Statutes of 2001; Chapter 664, Statutes of 2002; and Chapter 347, Statutes of 2003; Previous: Chapter 1422, Statutes of 1982

Chapter 1422, Statutes of 1982, required county elections officials to allow anyone who provided evidence of a physical disability to register as a permanent vote-by-mail voter, to mail that voter a ballot before each election, to maintain a list of those voters, and to remove voters from that list in certain circumstances. 2

Subsequent measures (Chapter 922, Statutes of 2001; Chapter 664, Statutes of 2002; and Chapter 347, Statutes of 2003) required county elections officials to allow any voter to register as a permanent vote-by-mail voter, to maintain a list of voters who are registered as permanent vote-by-mail voters, and to delete any voter from the list in certain circumstances. 3 These statutes do not require that a vote-by-mail ballot automatically be mailed to someone who registers as a permanent vote-by-mail voter.

Due to the continued suspension of Chapter 77, Statutes of 1978, by Government Code section 17581(f), county elections officials are not required to send vote-by-mail ballots to any voter registered as a permanent vote-by-mail voter unless that voter falls into one of the five categories noted in the discussion above entitled Absentee Ballots (Chapter 77, Statutes of 1978). This is not a change from what has been in effect since the 2011-12 fiscal year.

Due to the suspension by AB 110 of Chapter 922, Statutes of 2001; Chapter 664, Statutes of 2002; and Chapter 347, Statutes of 2003, county elections officials are not required to allow any voter to register as a permanent vote-by-mail voter, maintain a list of voters who are registered as permanent vote-by-mail voters, and delete any voter from the list in certain circumstances. This represents a change from the 2011-12 and 2012-13 fiscal years.

County elections officials have the option of automatically mailing vote-by-mail ballots to all voters who have registered as permanent vote-by-mail voters, but if they do, they will not be reimbursed for the cost of doing so in the 2013-14 fiscal year.

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2 The Commission on State Mandates ruled in a 1989 decision commonly referred to as Permanent Absent Voter I (PAV I) that Chapter 1422, Statutes of 1982, created a reimbursable state mandate. The 1989 Statement of Decision can be found at www.csm.ca.gov/sodscan/4358-1sod.pdf, while 1990 Parameters and Guidelines issued to clarify the Statement of Decision can be found at www.csm.ca.gov/sodscan/4358-1 pg.pdf.

3 The Commission on State Mandates issued a 2006 Statement of Decision commonly referred to as Permanent Absent Voter II (PAV II), which can be found at www.csm.ca.gov/sodscan/03tc11sod.pdf, while 2011 Parameters and Guidelines issued to clarify the Statement of Decision can be found at www.csm.ca.gov/decisions/120611.pdf.
While the Secretary of State cannot require county elections officials to continue to allow any voter to register as a permanent vote-by-mail voter, to maintain a list of voters who are registered as permanent vote-by-mail voters, to delete any voter from the list in certain circumstances, and to mail a vote-by-mail ballot to any voter who is registered as a permanent vote-by-mail voter, doing so will provide a benefit to voters and will likely save county elections officials money in the current and future fiscal years.

**New: Voter Identification Procedures**  
Chapter 260, Statutes of 2000

AB 110 suspends the requirement that signatures on provisional ballot envelopes be compared against voter affidavits to determine ballot eligibility, thereby removing a critical method to prevent voter fraud.

County elections officials must still verify, upon receiving a provisional ballot, that a voter by that name has not already voted at the polls or by mail. However, elections officials have the option of doing an actual comparison of signatures on the provisional ballot envelopes with those signatures on voter affidavits.

While the Secretary of State cannot require county elections officials to continue their practice of comparing signatures, doing so will continue to strengthen the election process.

If you have questions, please feel free to contact me at (916) 653-7244 or Lowell.Finley@sos.ca.gov.