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October 7, 2016

County Clerk/Registrar of Voters (CC/ROV) Memorandum #16311

TO: All County Clerks/Registrars of Voters

FROM: /s/ Susan Lapsley
Deputy Secretary of State and Counsel

RE: Voting Systems: OVSTA Monthly Update – October 2016

Each month, the Office of Voting Systems Technology Assessment (OVSTA) provides an update on Election Assistance Commission (EAC) and Secretary of State (SOS) activities related to voting systems.

Each update provides information on:

- Voting system related events that may be of interest.
- Administrative approvals and denials since the prior month's update.
- Administrative approval requests since the prior month's update.
- Voting system testing and approval at the SOS office.
- Voting system testing and certification at the EAC.
- Defect, failure, and fault reports issued since the prior month's update.
- Ballot printing certification requests since the prior month's update.

Recent and Upcoming Events

County Election Observer Panels Plans (EOPP) and Vote Count Programs are due to the Secretary of State by October 28, 2016, at 5:00PM. Detailed information can be found in CCROV [#16297](#).

Independent Printing Company in Ashland, Oregon has gone out of business and is no longer a certified ballot printer in California.

OVSTA is finalizing the documents for the formal rule making process for the proposed Ballot Printing regulations and Electronic Poll Book regulations.

Testing and Approval by the State of California

- The Elections Systems and Software, Inc. (ES&S) Unity 3.4.1.0 voting system Red Team, functional testing, source code review, and volume testing have completed. Accessibility testing will begin soon.

- Dominion Voting Systems Inc.'s application for their Democracy Suite 5.1 voting system is currently under review.
- Elections Systems and Software, Inc.'s application for their EVS 5.2.1.0 voting system is currently under review.
- Votem Corporation's application for their ABVote 4.01 product is currently under review.

Administrative Approval Requests

California Elections Code section 19216 precludes a voting system or part of a voting system that has been approved by the Secretary of State from being changed or modified until the Secretary of State has determined the change "does not impair its accuracy and efficiency. . . ."

- Runbeck Election Services is currently working on finalizing their application for their Novus V3.0 product.

Information on submitting an administrative approval request is in "[Requesting Administrative Approval of a Change or Modification to an Approved Voting System.](#)"

Administrative Approvals

- There were no administrative approvals in September.

Testing and Certification at the EAC

The EAC provides a [weekly update](#) of voting system testing. As of the last update on September 27, 2016, the current testing and certification status for systems relevant to California is:

ClearBallot Group ClearVote 1.4. Pro V&V is working on the test plan. Related documents can be found on the [EAC website](#).

Dominion Democracy Suite 5.0. The EAC approved Rev. A of the test plan on September 16, 2016. Pro V&V is working through testing and drafting the test report. Related documents can be found on the [EAC website](#).

ES&S EVS 5.2.2.0. The EAC approved the application for this test on September 14, 2016. Related documents can be found on the [EAC website](#).

ES&S EVS 5.4.0.0. NTS is preparing to begin testing of the mitigations provided by ESS. Related documents can be found on the [EAC website](#).

Hart Verity Voting 2.2. The EAC received the draft test plan on September 15, 2016, and will provide comments or approval by October 14, 2016. Related documents can be found on the EAC [website](#).

The EAC's "[Voting Systems Under Test](#)" and "[Certified Voting Systems](#)" pages provide more specifics on each voting system.

Defects, Failures, and Faults

Defects, failures, and faults (problem reports) of (1) voting system hardware and software and (2) ballot printing manufacturing and finishing are reported to the Secretary of State.

- There were no defect reports reported to OVSTA for the month of September.

Ballot Printing Certification Approvals

- Mailing Systems Inc.'s certification status is unchanged after their biennial inspection on September 19, 2016.
- Admail West's certification status is unchanged after their biennial inspection on September 19, 2016.

Ballot on Demand Printing Approvals

- There were no ballot on demand printing requests or approvals in September.

A list of certified ballot printers can be found at <http://www.sos.ca.gov/elections/voting-systems/certification-and-approval/ballot-printer-certification/>.

Electronic Poll Book Certification Requests & Approvals

- ES&S's application for their ExpressPoll EZRoster v3.3.1.0 is under review.