

# Santa Barbara County

## Phase 2 – Project Documentation Plan Staff Report

### Summary

Phase 2	Amounts
Phase 2: Staff Recommended Funding Award Amount	\$720,924.75
Phase 2 Remaining VMB Approved Allocation Amount	\$1,749,567.39
Phase 2: 3:1 Matching Fund Amount	\$240,308.25
Phase 2: Total System Cost	\$1,012,033.00
Phase 3	
Phase 3: Remaining Allocation Amount	\$1,028,642.64
Phase 3: 3:1 Matching Fund Amount	\$342,880.91
Phase 3: Total System Cost	Unknown at this time

### **Phase 2 Voting System:**

**Hardware:** ES&S AutoMARK Voter Assist Terminals (Optical Scan) – 200 Units

**Software:** UNITY Election Management Software version 2.4.3

### **Vendor:**

Elections Systems and Software, Inc. (ES&S)

### **Acquisition Schedule:**

Santa Barbara County anticipates receiving the AutoMARK units in February 2006. The county plans to use the AutoMARK units for the first time at the June 6, 2006 Primary Election.

### **Project Completion Date:**

Santa Barbara County anticipates that their Phase 2 project completion date will be upon certification of the June 6, 2006 Primary Election.

### **Accessible Voter Verifiable Paper Audit Trail (AVVPAT):**

The AVVPAT requirement does not apply to Santa Barbara County's Phase 2 Project Documentation Plan, as the system is a paper-based optical scan voting system.

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**Staff Report:**

Santa Barbara County's Phase 2 Project Documentation Plan meets the requirements for completeness. The ES&S AutoMARK Voter Assist Terminals are certified for use in California.

At the January 15, 2003 meeting of the Voting Modernization Board, the Board approved Santa Barbara County's Phase 1 Project Documentation Plan and awarded funding for the reimbursement of the county's purchase of the Diebold AccuVote Optical Scan Precinct Ballot Tabulators voting equipment.

Santa Barbara County plans to accomplish their voting system modernization through a three-phased implementation plan. The county believes that the three-phased implementation plan is a reasoned one for their county. Using this approach, the county has been able to introduce optical scan technology to voters who vote at the polls and to those voters who vote by absentee ballot.

Santa Barbara County believes that the deployment of Phase 2 AutoMARK Voter Assist Terminal optical scan units will bring the county into compliance with the Help America Vote Act (HAVA) and the state accessibility requirements. One AutoMARK Voter Assist Terminal unit will be placed in every polling place in Santa Barbara County. The AutoMARK units will allow voters with a variety of disabilities to vote privately and independently. The AutoMARK offers touch screen capabilities but is not a vote-recording device. The AutoMARK unit will display the voter's ballot on a tilt screen with adjustable fonts and allows the voter to vote by touching selections on the screen. A voter may choose to utilize the audio function and vote using the keypad accessory. The screen is blank when the audio functions are in use. After the voter reviews their selections, using either method, the AutoMARK will print the voter's selection on their ballot with the voter's choice clearly and cleanly marked.

Santa Barbara County plans to conduct a voter education and outreach program for voters in their county, with a special emphasis on voters with disabilities, on how to use the new AutoMARK voting equipment. The county plans to incorporate pertinent information on the new AutoMARK units in their poll worker and field supervisors training curriculum. The county also plans to provide poll workers and voters with surveys to evaluate the AutoMARK units.

Santa Barbara County will only receive VMB payments once they have submitted detailed invoices for their certified voting equipment. Please note that the staff proposed funding award is based upon allowable reimbursement under Proposition 41 for voting equipment hardware and software only. The election support services and installation line items listed in the Santa Barbara County contract with ES&S would not be covered as a reimbursable claim under Proposition 41.

**Staff Recommendation:**

It is our recommendation that Santa Barbara County's Phase 2 Project Documentation Plan be approved and a Funding Award letter be issued in the amount of \$720,924.75.

<b>Santa Barbara Initial VMB Formula Allocation</b>		<b>Amounts</b>
VMB Approved Allocation Amount		\$ 2,749,794.06
County 3:1 Matching Amount		\$ 916,598.02

<b>Phase 1 Payment</b>		<b>Amounts</b>
Santa Barbara County Approved Payment Request Amount		\$ 1,000,226.67
Santa Barbara County 3:1 Required Match		\$ 333,408.86

<b>Santa Barbara Phase 2 Remaining Formula Allocation</b>		<b>Amounts</b>
Remaining VMB Approved Allocation Amount		\$ 1,749,567.39
Remaining 3:1 County Required Matching Amount		\$ 583,189.16

<b>Phase 2 Non-Allowable Expenses</b>		
Election Support Services		\$ 33,800.00
Installation		\$ 17,000.00
	Phase 1 Total Non-Allowable Expenses	\$ 50,800.00
	<i>Phase 1 Estimated Total System Cost</i>	\$ 1,012,033.00
	<i>Phase 1 Total Non-Allowable Expenses</i>	\$ 50,800.00
	<i>Phase 1 Total Allowable Expenses</i>	\$ 961,233.00

<b>Phase 2 Allocation</b>		
Recommended Funding Award (75% of Allowable Expenses)		\$ 720,924.75
Match (25% of Allowable Expense Match Amount)		\$ 240,308.25
	Total Allowable Expenses	\$ 961,233.00

<b>Santa Barbara Phase 3 Remaining Formula Allocation</b>		<b>Amounts</b>
Remaining VMB Approved Allocation Amount		\$ 1,028,642.64
Remaining 3:1 County Required Matching Amount		\$ 342,880.91